

# Representation Zeta Functions

Michael Larsen

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# Representation Zeta Functions

Let  $G$  be a group. We define

$$\zeta_G(s) = \sum_V (\dim V)^{-s}.$$

The sum is taken over all irreducible finite-dimensional complex representations of  $G$ .

## What Kinds of Group?

1. Compact Lie groups, e.g.  $SU(n)$ .
2. Compact  $p$ -adic groups, e.g.  $SL(n, \mathbf{Z}_p)$ .
3. Finitely generated groups, e.g.  $SL(n, \mathbf{Z})$ .

Note: A finite-dimensional complex representation of  $SL(n, \mathbf{Z}_p)$  is continuous if and only if it has finite image.

Motivating example: If  $G = SU(2)$ , then

$$\zeta_G(s) = \zeta(s).$$

## Basic Question

Is  $\zeta_G(s)$  really a zeta function?

# Properties of a Good Zeta Function

0. Convergence in a half-plane:  $\sum_n n^{-s}$  converges for  $\Re(s) > 1$ .

1. Euler product:

$$\zeta(s) = \prod_p (1 - p^{-s})^{-1}.$$

2. Interesting special values:

$$\zeta(2n) = \frac{-B_{2n}}{2(2n)!} (2\pi i)^{2n}.$$

3. Analytic continuation: For  $\zeta(s)$ , this can be achieved in two steps, first to  $\Re(s) > 0$  and then to the whole plane, via the:

4. Functional equation:

$$\Gamma\left(\frac{s}{2}\right) \pi^{-\frac{s}{2}} \zeta(s) = \Gamma\left(\frac{1-s}{2}\right) \pi^{-\frac{1-s}{2}} \zeta(1-s).$$

5. Riemann hypothesis?

# Convergence in a Half-Plane

Convergence is known in the following cases:

1. For  $G$  a compact semisimple Lie group, e.g.  $SU(n)$ .
2. For  $G$  a compact open subgroup of a semisimple group over a  $p$ -adic field, e.g.  $SL(n, \mathbf{Z}_p)$ .
3. For  $G = \Gamma$  an arithmetic group satisfying the congruence subgroup property, e.g.  $SL(n, \mathbf{Z})$ , for  $n \geq 3$ .

We write  $\rho(G)$  for the *abscissa of convergence*.

# Euler Products

There is an Euler product of sorts for arithmetic groups satisfying the congruence subgroup property. For example, for  $n \geq 3$ ,

$$\zeta_{\mathrm{SL}(n, \mathbf{Z})}(s) = \zeta_{\mathrm{SU}(n)}(s) \prod_p \zeta_{\mathrm{SL}(n, \mathbf{Z}_p)}(s).$$

Note that  $\mathrm{SL}(n, \mathbf{Z}_p)$  is not quite a pro- $p$  group (inverse limit of finite groups of  $p$ -power order). For pro- $p$  groups, all irreducible representations are of  $p$ -power degree, so the zeta function is a power series in  $p^{-s}$ .

## Special Values

**Theorem** (Witten, 1991). *If  $G$  is a compact semisimple Lie group associated to a root system  $\Phi$  and  $n$  is a positive integer, then*

$$\zeta_G(2n) \in \pi^{n|\Phi|} \mathbf{Q}.$$

The value also has an interpretation as the volume of a natural geometric object.

# Analytic Continuation

**Theorem** (Jaikin-Zaparain, 2006). *For  $G$  a compact open subgroup of a semisimple group over a  $p$ -adic field ( $p$  odd),  $\zeta_G(s)$  extends to an entire meromorphic function.*

**Question:** Is the same true for semisimple compact Lie groups?

# Gassmann-Sunada Phenomenon

**Theorem** (Gassmann, 1926). *There exist number fields  $K_1$  and  $K_2$  such that*

1.  $\zeta_{K_1}(s) = \zeta_{K_2}(s)$
2. *The fields  $K_1$  and  $K_2$  are not isomorphic*
3. *The minimal Galois extensions of  $K_1$  and  $K_2$  over  $\mathbf{Q}$  are the same.*

**Theorem** (Sunada, 1985). *There exist compact Riemannian manifolds  $X_1$  and  $X_2$  such that*

1.  $\zeta_{X_1}(s) = \zeta_{X_2}(s)$
2. *The manifolds  $X_1$  and  $X_2$  are not isometric*
3. *The universal covers  $\tilde{X}_1$  and  $\tilde{X}_2$  are the same.*

# Gassmann-Sunada Construction

Data for the construction:

1. Subgroups  $H_1$  and  $H_2$  of a finite group  $G$ .
2. A bijection  $\phi : H_1 \rightarrow H_2$ .
3. A geometric object on which  $G$  acts faithfully.

Conditions:

4. For all  $h \in H_1$ ,  $\phi(h)$  is conjugate to  $h$  in  $G$ .
5.  $H_1$  and  $H_2$  are not conjugate in  $G$ .

Example:  $G = S_6$  and

$$H_1 = \{e, (34)(56), (56)(12), (12)(34)\}$$

$$H_2 = \{e, (12)(34), (13)(24), (14)(23)\}$$

# An Analogue for Lie Groups

**Theorem** (Larsen, 2004). *There exist compact semisimple Lie group  $G_1$  and  $G_2$  such that*

1.  $\zeta_{G_1}(s) = \zeta_{G_2}(s)$
2. *The groups  $G_1$  and  $G_2$  are not isomorphic*
3. *The universal covers  $\tilde{G}_1$  and  $\tilde{G}_2$  are the same.*

Moreover, if  $G_1$  and  $G_2$  satisfy (1) and (2), they also satisfy (3).

## Using Outer Automorphisms

Difficulty: *Finite normal subgroups of compact connected Lie groups lie in the center.*

Modified Gassmann-Sunada conditions:

- 4'. For all  $h \in H_1$ ,  $\phi(h)$  is equivalent to  $h$  under  $\text{Aut}(G)$ .
- 5'.  $H_1$  and  $H_2$  are not equivalent under  $\text{Aut}(G)$ .

# Lubotzky's Program

Let  $R_n(G)$  denote the number of irreducible representations of degree  $\leq n$ .

**Basic Fact.** The abscissa of convergence of  $\zeta_G(s)$  is

$$\limsup_{n \rightarrow \infty} \frac{\log R_n(G)}{\log n}.$$

Roughly:  $R_n(G)$  grows like  $n^{\rho(G)}$ .

1. Find the abscissa of convergence for linear groups.
2. Relate it to other measures of growth in group theory (e.g. subgroup growth).

## Induction and Restriction

Let  $H < G$  be a subgroup of index  $m$ . Then

$$R_n(G) \leq mR_n(H) \leq m^2 R_{mn}(G).$$

**Proposition** (Lubotzky-Martin, 2004). *The abscissas of convergence for commensurable groups are the same.*

## Some Open Questions

1. What is  $\rho(\mathrm{SL}(n, \mathbf{Z}))$ ? This is open even for  $n = 3$ .
2. What is  $\rho(\mathrm{SL}(n, \mathbf{Z}_p))$ ? This is open even for  $n = 3$ .
3. What is the limiting behavior of either of the above quantities as  $n \rightarrow \infty$ ?

# Compact Lie Groups

**Theorem.** *Let  $G$  be a compact simple Lie group with root system  $\Phi$ . Then*

$$\rho(G) = \frac{2 \operatorname{rank}(\Phi)}{|\Phi|}.$$

Example.  $\rho(\mathrm{SU}(n)) = \frac{2}{n}$ .

Idea of proof. For example,

$$\zeta_{\mathrm{SU}(3)} = \sum_{i=1}^{\infty} \sum_{j=1}^{\infty} (ij(i+j)/2)^{-s}.$$

The set  $\{i, j \geq 1 \mid ij(i+j) < n^3\}$  has order  $O(n^2)$ .

## The $P$ -adic Analogue

**Theorem.** *Let  $G$  be a simple algebraic group with (absolute) root system  $\Phi$  over a local field  $K$  and  $U$  an open subgroup of  $G(K)$ . Then*

$$\rho(U) \geq \frac{2 \operatorname{rank}(\Phi)}{|\Phi|}.$$

Example.  $\rho(\mathrm{SL}(n, \mathbf{Z}_p)) \geq \frac{2}{n}$ .

## Idea of the Proof

Every irrep. of  $\mathrm{SL}(3, \mathbf{Z}/p^k \mathbf{Z})$  is an irrep. of  $\mathrm{SL}(3, \mathbf{Z}_p)$ . Diagonal elements

$$\begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & (ab)^{-1} \end{pmatrix}$$

in  $\mathrm{SL}(3, \mathbf{Z}/p^k \mathbf{Z})$  give  $\sim p^{2k}$  conjugacy classes, hence at least that many irreducible representations.

The sum of the squares of degrees of all irreps of  $\mathrm{SL}(3, \mathbf{Z}/p^k \mathbf{Z})$  is  $\sim p^{8k}$ . So a typical irrep. has degree  $O(p^{3k})$ .

Thus,

$$R_{p^{3k}}(\mathrm{SL}(3, \mathbf{Z}/p^k \mathbf{Z})) \gg p^{2k}.$$

## What About Non-diagonal Classes?

For semisimple groups over *fields*, “most” classes are semisimple. The number of diagonal classes in  $\mathrm{SL}(n, \mathbf{Z}/p^k\mathbf{Z})$  grows like  $p^{(n-1)k}$ .

Let  $G^\natural$  denote the set of conjugacy classes in  $G$ .

**Proposition.** *For fixed  $n$  and  $p$*

$$\lim_{k \rightarrow \infty} \frac{\log_p |\mathrm{SL}(n, \mathbf{Z}/p^k\mathbf{Z})^\natural|}{k} \geq \frac{n^2 - 4n + 3}{12}.$$

Idea. It is enough to count classes represented by block matrices of the form

$$\begin{pmatrix} A & B \\ 0 & D \end{pmatrix}$$

where  $A$  and  $D$  are congruent to identity matrices (mod  $p^{n/3}$ ).

# Non-semisimple Bounds

An immediate consequence is:

$$\liminf_{n \rightarrow \infty} \rho(\mathrm{SL}_n(\mathbf{Z}_p)) \geq \frac{2}{11}.$$

Similar bounds apply for other “classical” families of  $p$ -adic groups.

## Isotropic vs. Anisotropic

A simple algebraic group  $G$  over a field  $K$  is *isotropic* if and only if it contains a subgroup isomorphic to  $\mathrm{GL}(1)$ ; otherwise, it is *anisotropic*.

For local fields  $K$ , the following are equivalent for simple  $G$ :

1.  $G$  is anisotropic over  $K$ .
2.  $G(K)$  is compact.
3.  $G(K) = D^*/K^*$ , where  $D$  is a division algebra with center  $K$ .

## Local Dichotomy

**Theorem** (Larsen-Lubotzky). *Let  $G$  be a simple algebraic group over a local field  $K$ . If  $G(K)$  is compact then for any compact open subgroup  $U \subset G(K)$ ,*

$$\rho(U) = \frac{2 \operatorname{rank}(\Phi)}{|\Phi|}.$$

**Theorem** (Larsen-Lubotzky). *Let  $G$  be a simple algebraic group over a local field  $K$ . If  $G(K)$  is not compact then for any compact open subgroup  $U \subset G(K)$ ,*

$$\rho(U) \geq \frac{1}{15}.$$

## Basic Inequality

If  $G \rightarrow H$  is a surjective homomorphism,

$$\rho(G) \geq \rho(H).$$

If  $H$  is a topological group, it suffices that the image of  $G$  is dense.

**Example.**  $\rho(\mathrm{SL}(n, \mathbf{Z})) \geq \rho(\mathrm{SL}(n, \mathbf{Z}_p))$ .

# Gap Theorem

**Theorem** (Larsen-Lubotzky). *If  $\Gamma$  is an infinite linear group which is finitely generated, then*

$$\rho(\Gamma) \geq \frac{1}{15}.$$

**Theorem** (Larsen-Lubotzky). *There exists an infinite, finitely generated, residually finite group  $\Gamma$  such that*

$$\rho(\Gamma) = 0.$$

# Lattices

A *lattice*  $\Gamma$  in a semisimple Lie group  $G$  is a discrete subgroup such that  $G/\Gamma$  has finite volume.

A lattice is *irreducible* if its image in every quotient of  $G$  is dense. This rules out lattices like  $\Gamma_1 \times \Gamma_2 \subset G_1 \times G_2$ .

**Conjecture.** Any two irreducible lattices in the same semisimple Lie group have the same abscissa of convergence.

# Computable Examples

Let  $K_1, \dots, K_n$  be finite extensions of  $\mathbf{R}$  or of  $\mathbf{Q}_p$ .

**Theorem** (Larsen-Lubotzky). *If  $\Gamma$  is an irreducible lattice in  $\prod_i \mathrm{SL}_2(K_i)$ , then*

$$\rho(\Gamma) = \begin{cases} \infty & \text{if } n = 1, \\ 2 & \text{otherwise.} \end{cases}$$